12-03-85

PTO/SB/21 (08-03)

P10/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/014,220 Filing Date November 9, 2001 TRANSMITTAL First Named Inventor Che-Kun James SHEN **FORM** Art Unit 1636 (to be used for all correspondence after initial filing) **Examiner Name** S. Kaushal Attorney Docket Number **34** +9 514162000120 Total Number of Pages in This Submission Refs ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form (in duplicate) Drawing(s) to Group X 2 pages Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences ppeal Communication to Group Amendment/Reply (10pgs) w/Che-(Appeal Notice, Brief, Reply Brief) Petition Kun James Chen Declaration (5pgs) and Exhibit A (12pgs.) - 27 pages Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address (Associate Power of Attorney) -3nages Other Enclosure(s) (please x Extension of Time Request - 1 page Terminal Disclaimer identify below): Return receipt postcard. Request for Refund **Express Abandonment Request** Information Disclosure Statement CD, Number of CD(s) (Supplemental) w/PTO Form 1449 pages + 9 References Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT MORRISON & FOERSTER LLP (Customer No. 20872) Julia R. Rosenthal - 54,410 Individual name Signature Date December 1, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL968417633US, in an envelope addressed to: MS Group Art Unit 1636, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the (Victoria A. Wilson) date shown below.

Dated: December 1, 2003

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a volid CMB control surples.

R	E vo	64
/ 14E	01 200	MAKOFFI
PAT	ENT & TRA	

Under the Paperwork Reduction Act of 1995, no persons are requi	red to re	spond to	a colle	ection of	informatio	on unless it displays a valid OMB con	trol number.	
					<u>C m</u>	piete if Known		
FEE TRANSMITTAL			Application Number			10/014,220		
for FY 2004	ļ	Filing Date			November 9, 2001	$\overline{}$		
Effective 10/01/2003, Patent fees are subject to annual revision.			First Named Inventor		tor	Che-Kun James SHEN	- 72	
Effective 10/01/2003, Patent fees are subject to difficult volume.		Examiner Name				S. Kaushal		
x Applicant claims small entity status. See 37 CFR 1.27		Art Unit				1636		
TOTAL AMOUNT OF PAYMENT (\$) 664.00		Attorney Docket No.				514162000120	٠,٧٠	
METHOD OF PAYMENT (check all that apply)	Γ	FEE CALCULATION (continued)						
Check Credit Money Other None	3. A	Filing Date November 9, 2001 First Named Inventor Che-Kun James SHEN S. Kaushal Art Unit 1636 Attorney Docket No. 514162000120 FEE CALCULATION (continued) ADDITIONAL FEES Add (\$) Fee Fee Fee Code (\$) Fee Description Fee Paid						
x Deposit Account:	Large	Large Entity Small Entity					Γ	
Deposit Account Number	Fee Code	Fee (\$)	Fee Code	Fee (\$)	•	Fee Description	Fee Paid	
Deposit 0.5	1051	130	2051	65	Surcharg	je – late filing fee or oath 🕠		
Account Morrison & Foerster LLP		50	2052	25	Surcharge – late provisional filing fee or cove		1 71	
The Director is authorized to: (check all that apply)	1052				sheet.		<u> </u>	
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	_	lish specification	 	
X Charge any additional fee(s) or any underpayment of fee(s)		2,520	1812	2,520		a request for ex parte reexamination		
H		920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below, except for the filing fee the above-identified deposit account.		1,840*	1805	1,840*	Request	ing publication of SIR after		
			2251	55	Examiner action			
FEE CALCULATION . BASIC FILING FEE	1252	420	2252			n for reply within second month		
Large Entity Small Entity	1253	950	2253	475		n for reply within third month	475.00	
Fee Fee Fee <u>Fee Description</u> Fee Paid	1254	1,480	2254	740	Extensio	on for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension	on for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice o			
1003 530 2003 265 Plant filing fee	1402		2402		_	brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403		2403			for oral hearing	\vdash	
1005 160 2005 80 Provisional filing fee	1451		1451			to institute a public use proceeding to revive – unavoidable		
SUBTOTAL (1) (\$) 0.00	1452 1453		2452 2453			to revive - unintentional		
	1501	-	2501			sue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from Fee Form	1502		2502		-	ssue fee		
Claims below Fee Paid	1502		2503		Plant iss			
Independent 2	1460		1460			s to the Commissioner		
Claims 2 3 4 4 5	1807		1807		Process	sing fee under 37 CFR 1.17(q)		
Multiple Dependent 145 =	1806		1806			sion of Information Disclosure Stmt	180.00	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	8021		8021		Recordi	ng each patent assignment per		
Code (\$) Code (\$)	8021	40	1 8021		property	(times number of properties) submission after final rejection	├ ─┤	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	(37 CFF	R 1.129(a))		
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385		h additional invention to be ed (37CFR 1.129(b))		
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims	1801	770	2801	1 385		it for Continued Examination (RCE)		
over original patent	1802		1802	2 900		t for expedited examination		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	i	r fee (spe	l ecifv)		or a des	sign application		
<u> </u>	1	duced by		Filina Fe	e Paid	SUBTOTAL (3) (\$)	655.00	
SUBTOTAL (2) (\$) 9.00 **or number previously paid, if greater; For Reissues, see above	I ve		20310	g . c				
SUBMITTED BY						(Complete (if applicable))		
Name (Print/Type) Julia R. Rosenthal	Regis	stration N	Vo. 5	4,410		Telephone (415) 268-700	00	
ame cenno ivoentiuma ist. isuseilillal	→ /∧+	mau/Aann	,,, L	.,				

SUBMITTED BY (Complete (if applicable))						
Name (Print/Type) Julia R. Rosenthal	Registration No. (Attorney/Agent) 54,410	Telephone	(415) 268-7000			
Signature Jala Phs e	1	Date	December 1, 2003			